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Verification and Validation of Automated Valet Parking System - Safety Challenges and Solutions

Dr. Alexandru Forrai, USP Event, 16-Dec-2020



 EIT Urban Mobility is supported by the EIT,
a body of the European Union



Automated driving systems - main challenges

Verification and validation of automated valet parking system
ISO 26262 perspective

Verification and validation of automated valet parking system
SOTIF perspective

Remarks, conclusions and discussions

Technology challenge: build a safe car

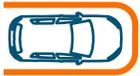
- it can perceive the road environment better than a human driver
- it makes “reasonable” decisions like a human driver

Regulatory challenge: build a functional car, accepted by society

- it makes a proper trade-off between safety and functionality – “I am safe if I do not drive but then I am not functional, not accepted”
- it fits into the defined regulatory bounds – ongoing process

Business challenge: build a cost-effective car

- it means consumers are willing to switch to driverless car
- it means new business models, and/or redefinition of “mobility”



Safety in Different Industry Sectors

Pick and place robot



Chemical plant



Elevators



Airplane



System complexity

Mid-complexity

High-complexity

Mid-complexity

High-complexity

Safe state (in case of malfunction)

Sudden stop

Safe stop within ΔT

Stop nearest floor

Land nearest airport

Operational environment

Known & Defined

Known & Controlled

Known & Defined

Unknown-Predicted



System and Operational Environment

		System	
		Simple	Complex
Operational environment	Known		
	Unknown	 maybe not safe	

Remarks:

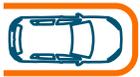
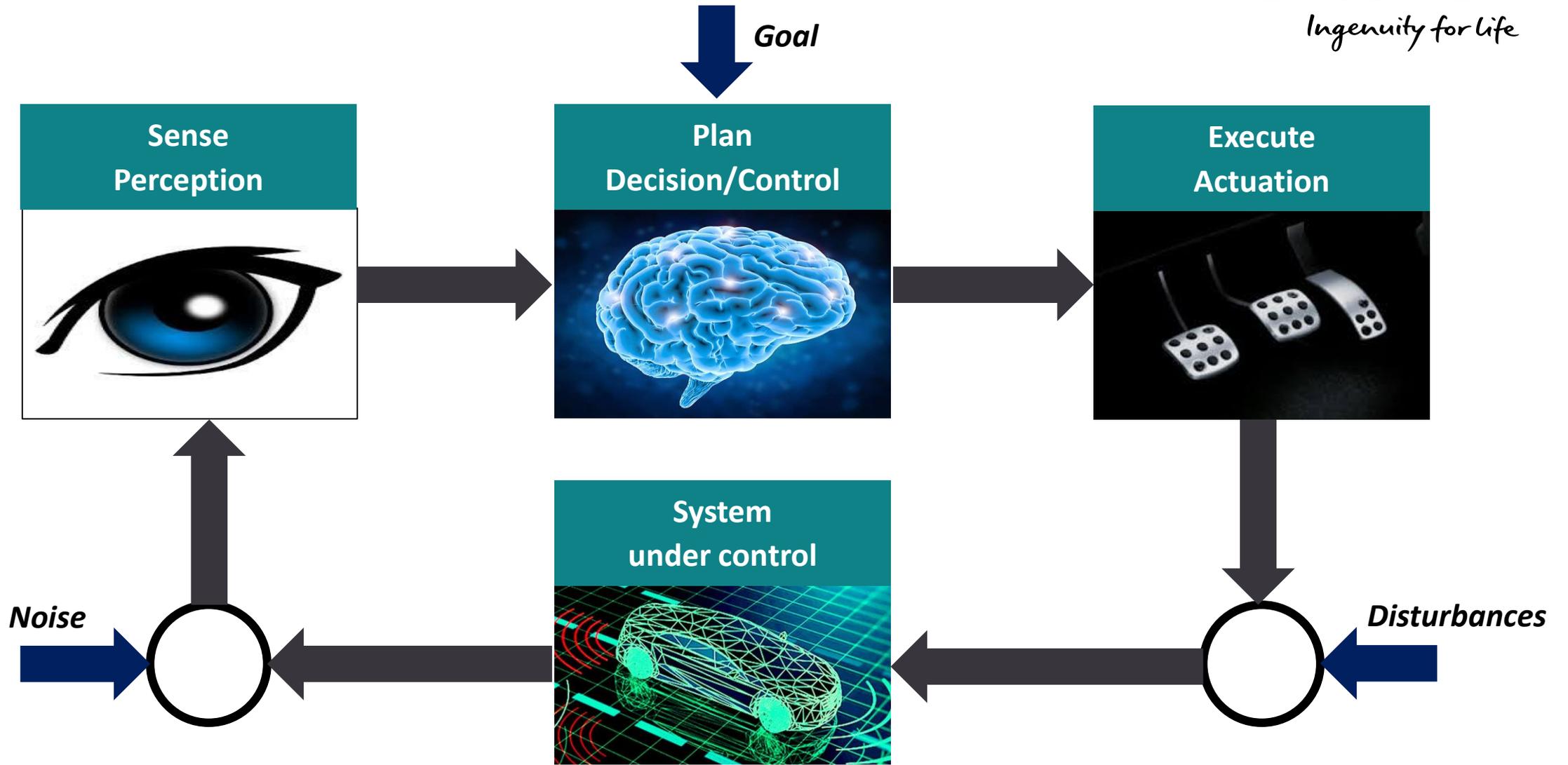
The system is designed for the known operational environment, where should operate safely.

Operational env. shall be known/monitored/predicted – otherwise operational safety cannot be assured.

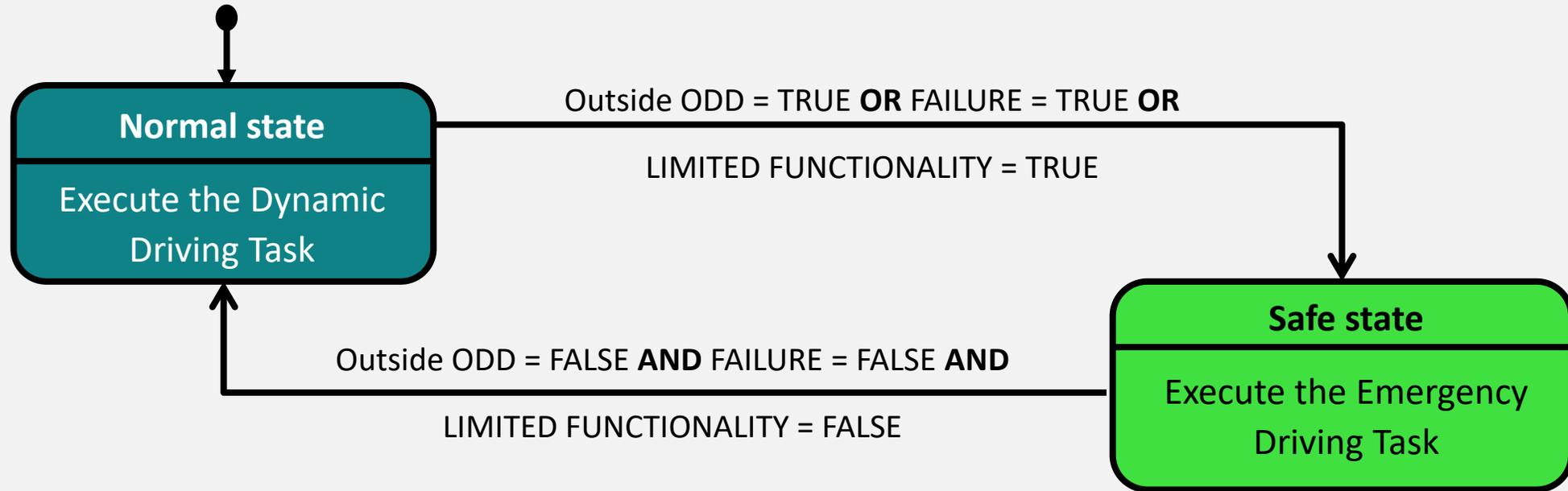


Automated Driving System

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Autonomous Vehicle – a State Machine Representation



Rules for autonomous vehicles (in hierarchical order)

1. Shall prevent harm and avoid accidents
2. Shall maintain free movement of the traffic
3. Shall respect traffic rules and safety distances

Remarks: Emergency Driving Task- move to emergency lane and stop OR stop safely (e.g. no emergency lane)
Operational Design Domain (ODD)



Operational environment (operational design domain):

- shall be known, shall be monitored/controlled or shall be well-predicted, otherwise operational safety becomes a very difficult task.

For complex systems – in case of malfunction or limited functionality:

- fault-tolerance or operation under degraded performance shall be guaranteed, so the system can make a smooth transition into the safe state.



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What is Safety? Freedom from those conditions that can cause death, injury, occupational illness, damage to or loss of equipment or property, or damage to the environment. (MIL-STD-882E).

How to assure safety?

Safety by design, which means: how we **Define → Design → Develop → Deploy.**

Some of the relevant automotive safety standards in use or expected to come:

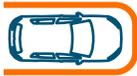
2nd edition ISO26262 (IEC61508)

ISO PAS 21448 (SOTIF) – complementing ISO26162

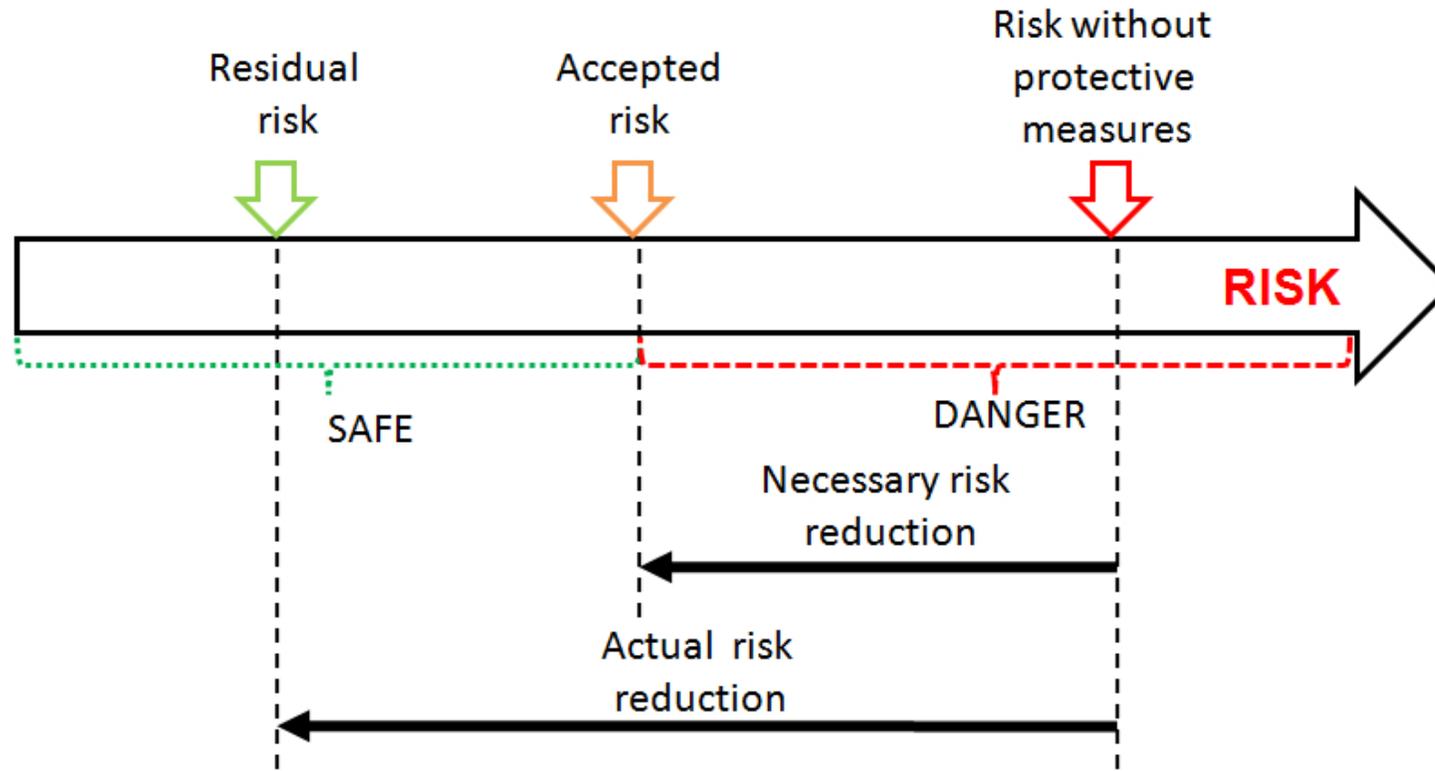
Road vehicles -- Safety of the intended functionality

SAE J3101 Hardware-Protected Security for Ground Vehicle Applications

SAE J3061 Cybersecurity Guidebook for Cyber-Physical Vehicle Systems



What is Risk?



$$\text{Risk} = \text{Severity} * \text{Probability of Exposure} = S * E$$

$$\text{Residual risk} = \text{Severity} * \text{Probability of Exposure} * (1 - \text{Controllability}) = S * E * (1 - C)$$

Remark: it is required to minimize the risk at least to the **accepted (tolerable)** risk.



The absence of unreasonable risk due to hazards caused by malfunctioning behaviour of E/E systems



Systematic failures

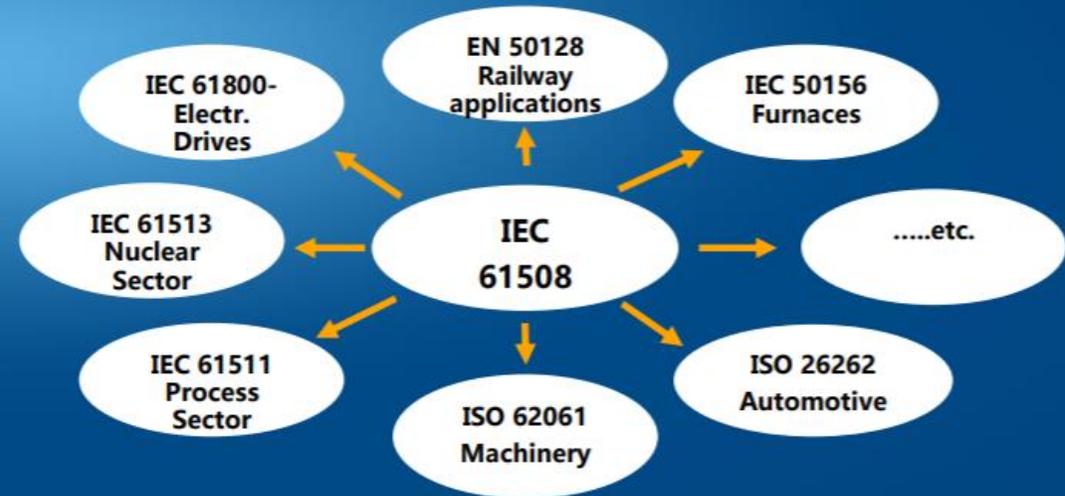
(Bugs in S/W, H/W design and Tools)



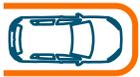
Random H/W failures

(permanent faults, transient faults occurring while using the system)

Ruled by International Standards
setting the "state of art" (for liability)

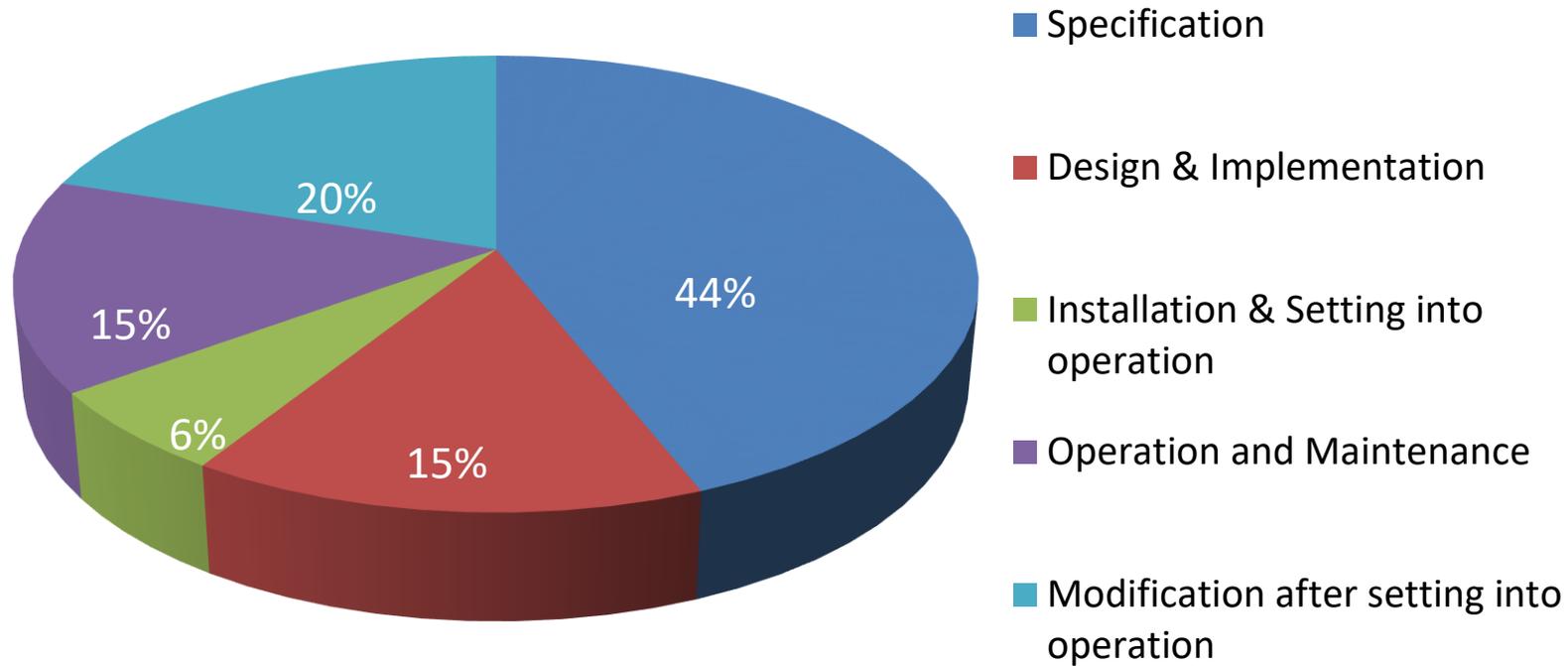


Functional Safety Standards used in different industry sectors



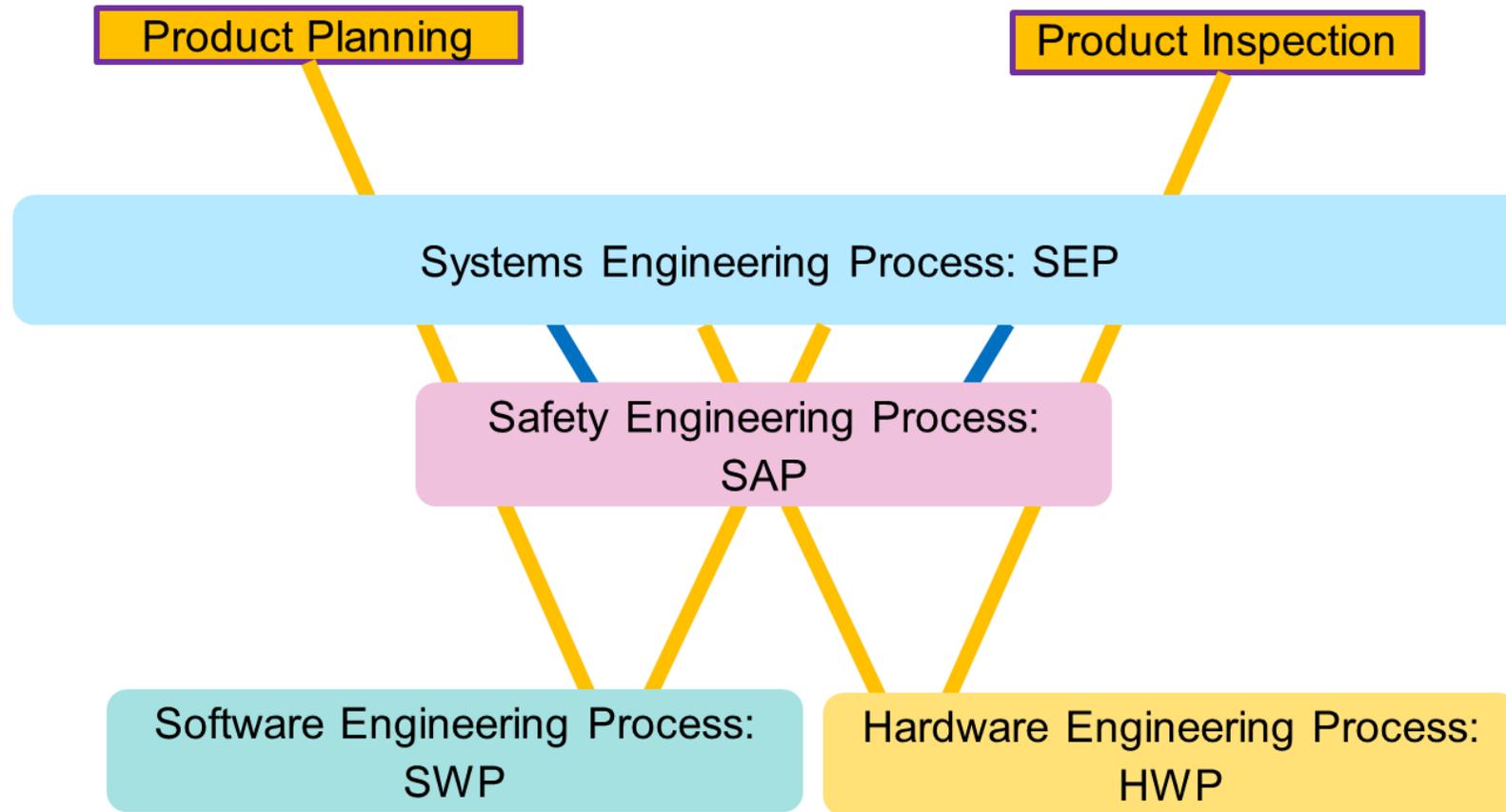
Systematic Failures (SW, Process, Tools)

Failures distribution during development & deployment



Source: UK Health and Safety Executive (HSE)

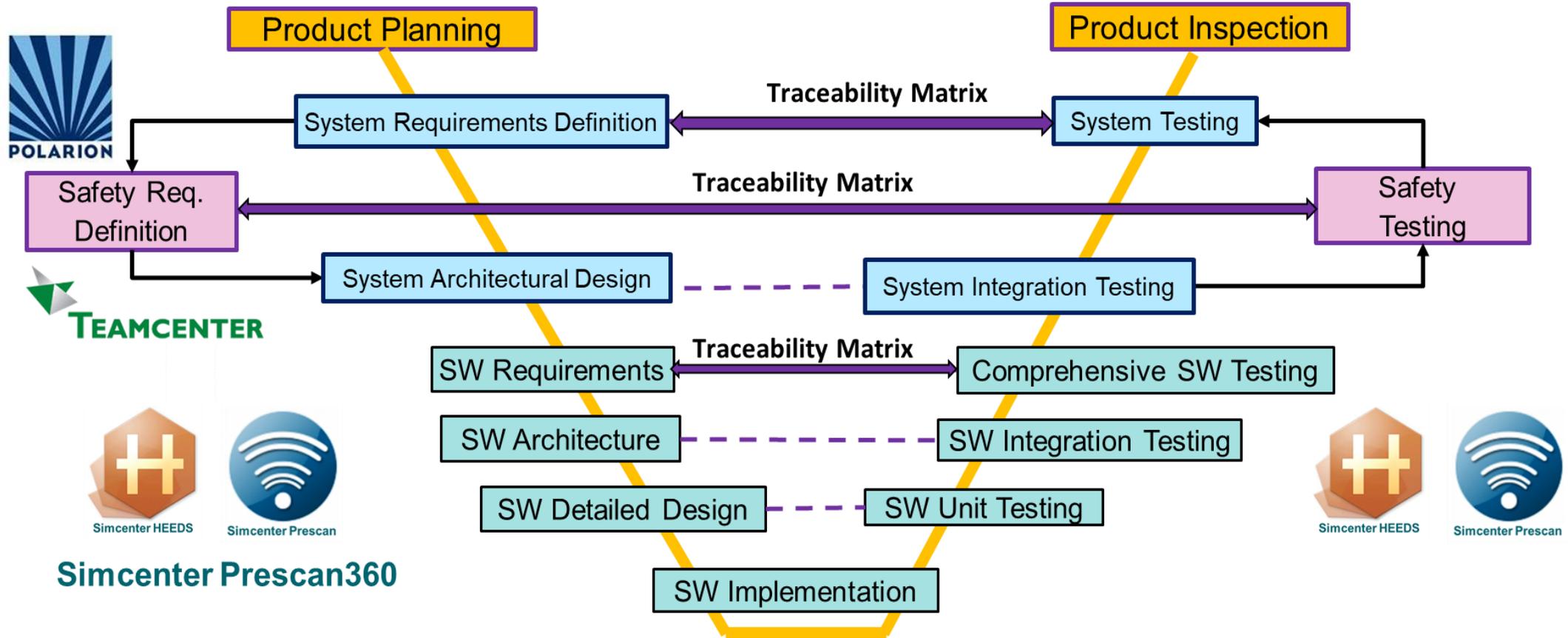
Development Process: Systems Engineering Approach



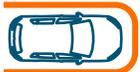
How to assure safety? Safety by design, which means: how we **Define** → **Design** → **Develop** → **Deploy**.

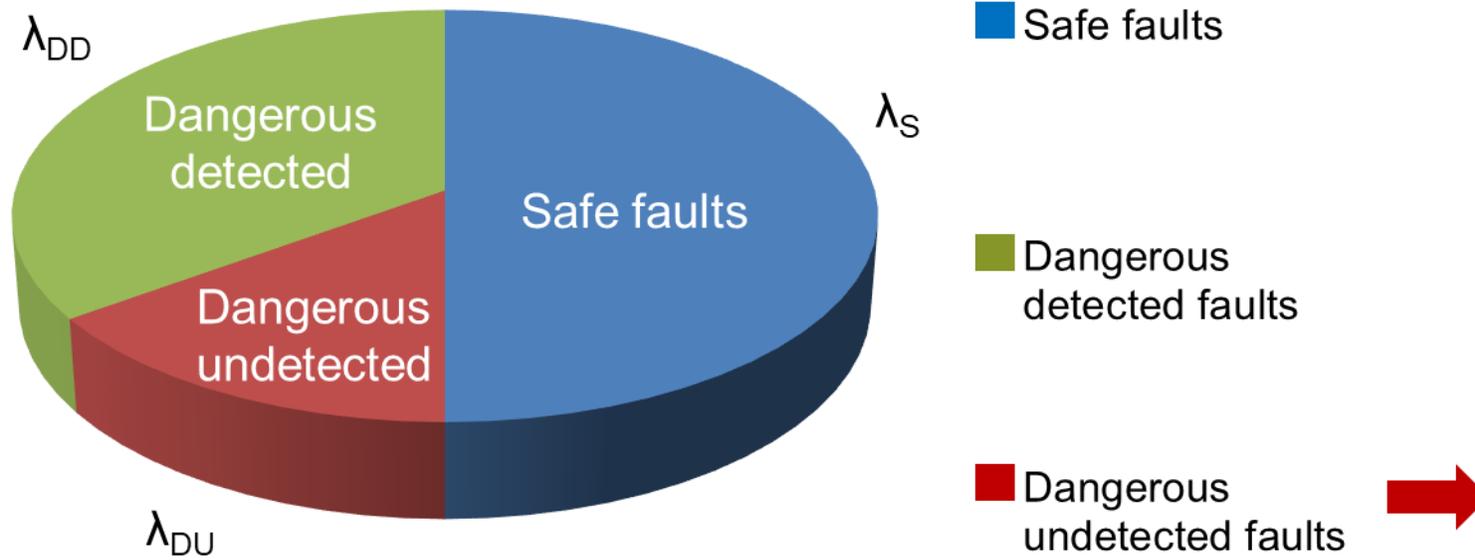


Software Development: Systems Engineering Approach



How to assure safety? Safety by design, which means how we **Define** → **Design** → **Develop** → **Deploy**.

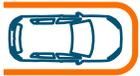




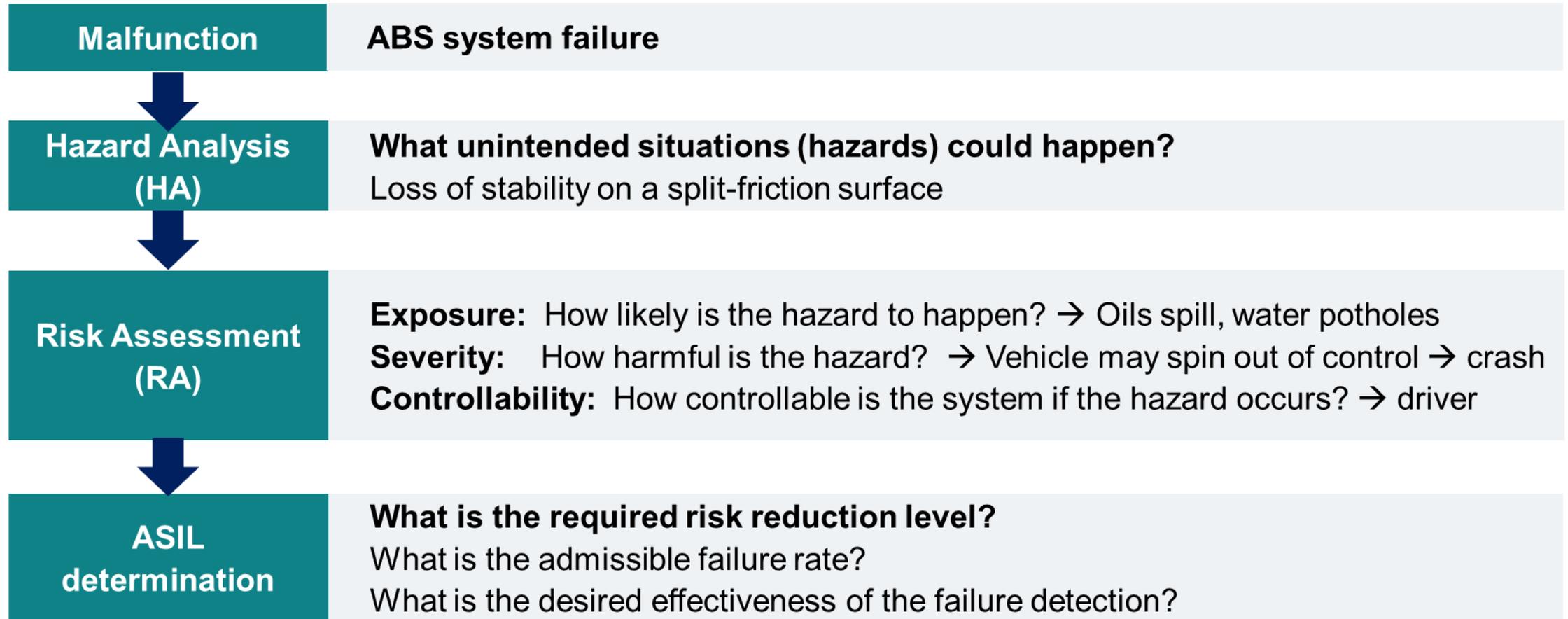
To be minimized via diagnostics, redundancy, diversity and better quality components.

According to: IEC61508

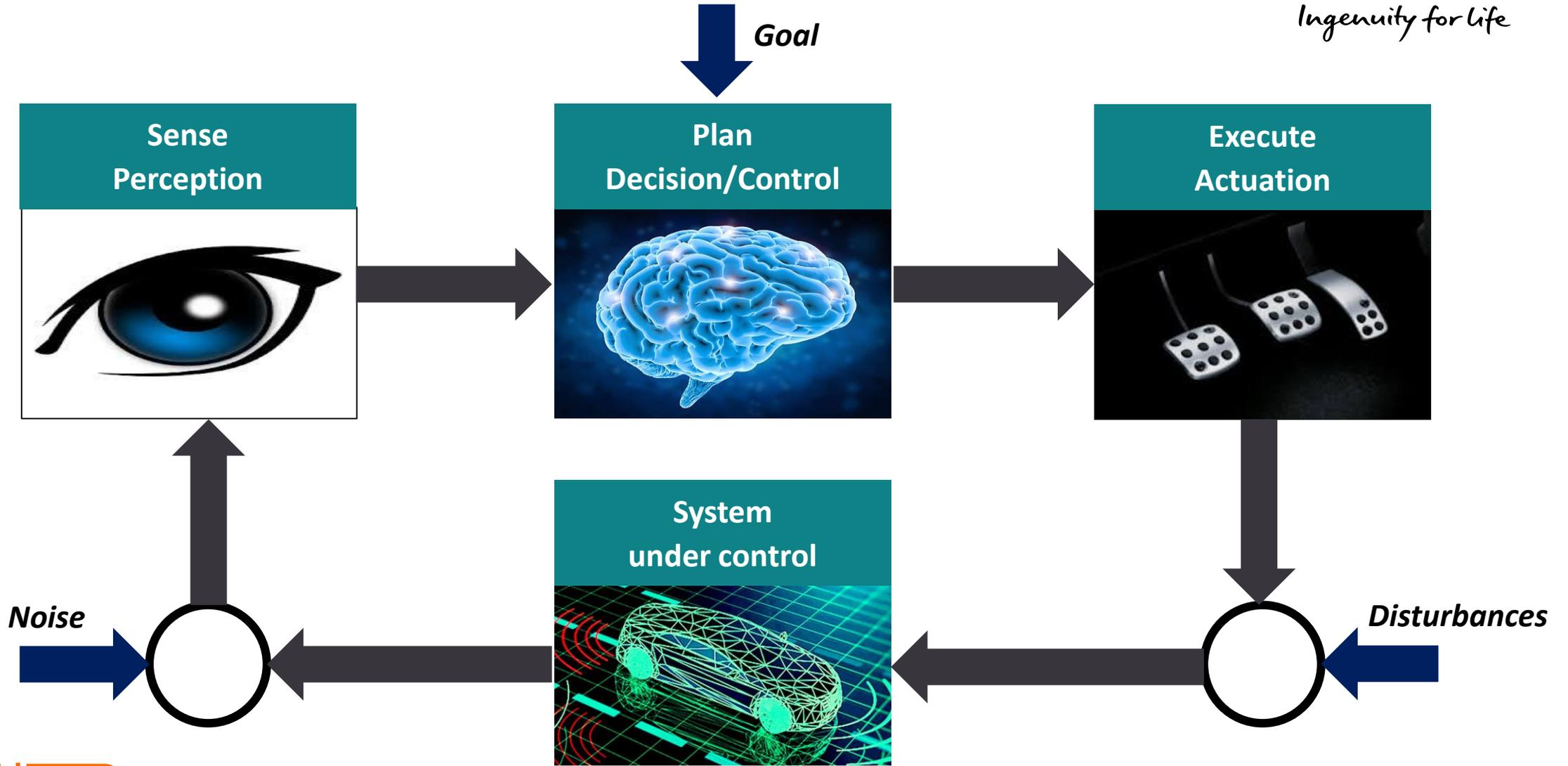
Remark: undetected fault means that the fault is known but with the current risk reduction methods cannot be detected.



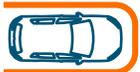
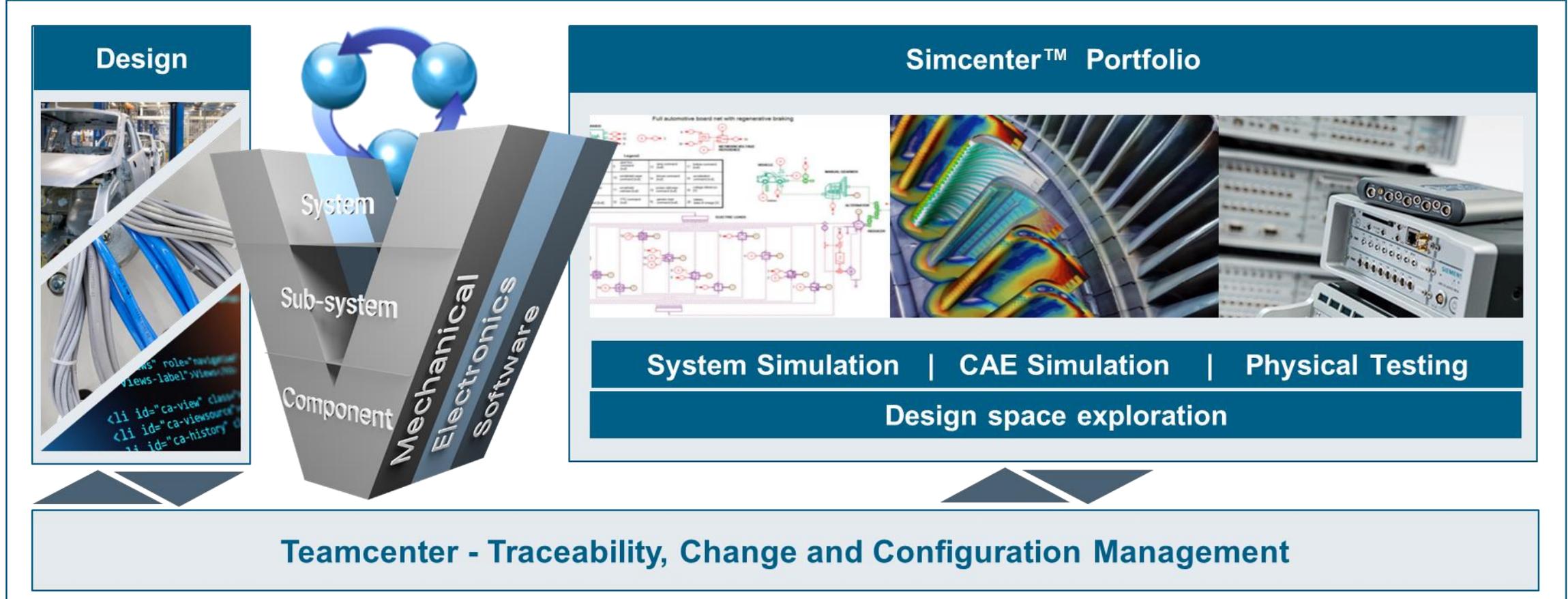
Hazard Analysis and Risk Assessment (HARA)



Automated Driving System



Verification and Validation at Component, Sub-system and System Level





Automated driving systems - main challenges

Verification and validation of automated valet parking system
ISO 26262 perspective

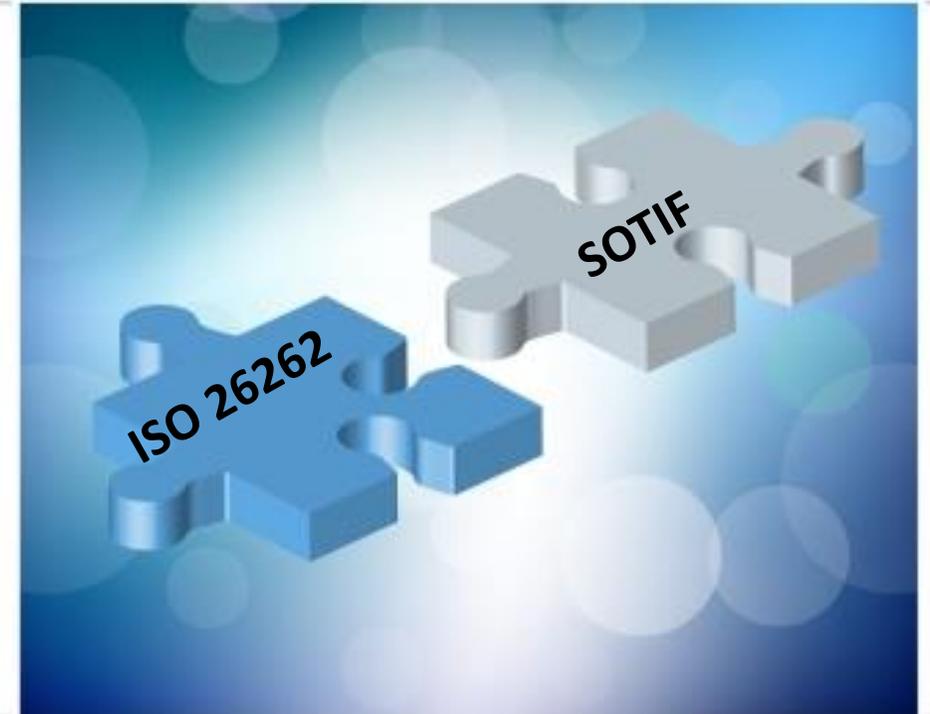
Verification and validation of automated valet parking system
SOTIF perspective

Remarks, conclusions and discussions

ISO26262 – functional safety standard - **how the system should detect and respond to failures**, errors, or off-nominal performance of the self-driving system.

SOTIF – safety of the intended functionality - **how the system should detect and respond to functional insufficiencies of the intended functionality or by reasonably foreseeable misuse by persons.**

The objective is to validate the automated function in all relevant scenarios, especially in difficult conditions for both sensors and algorithms.

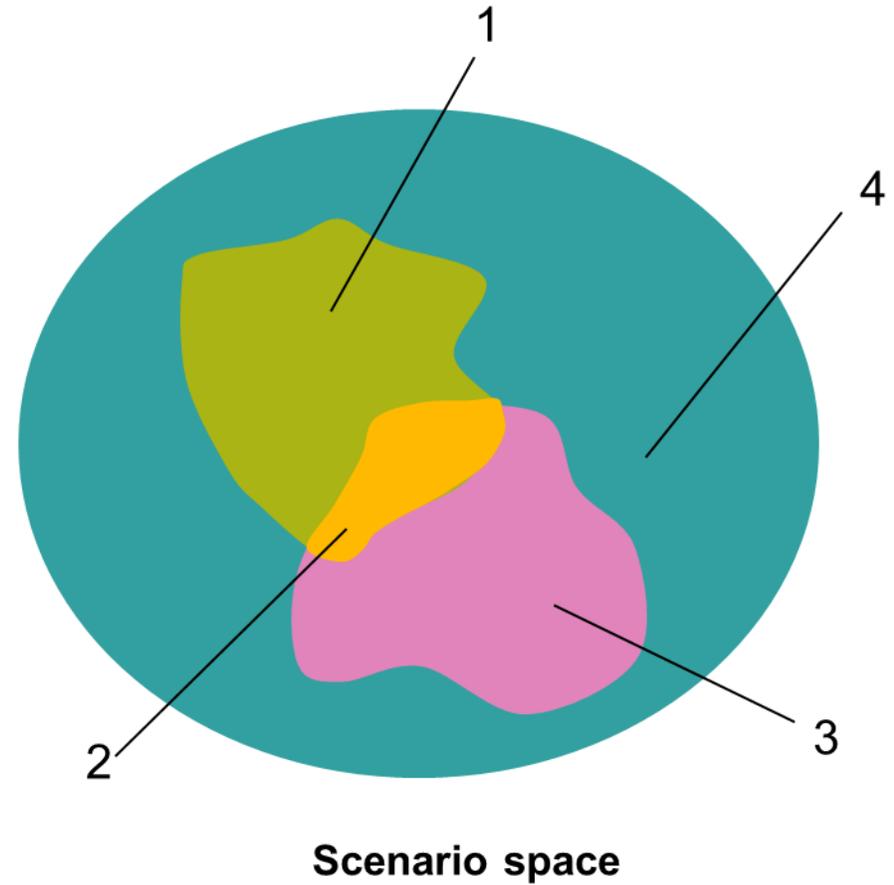
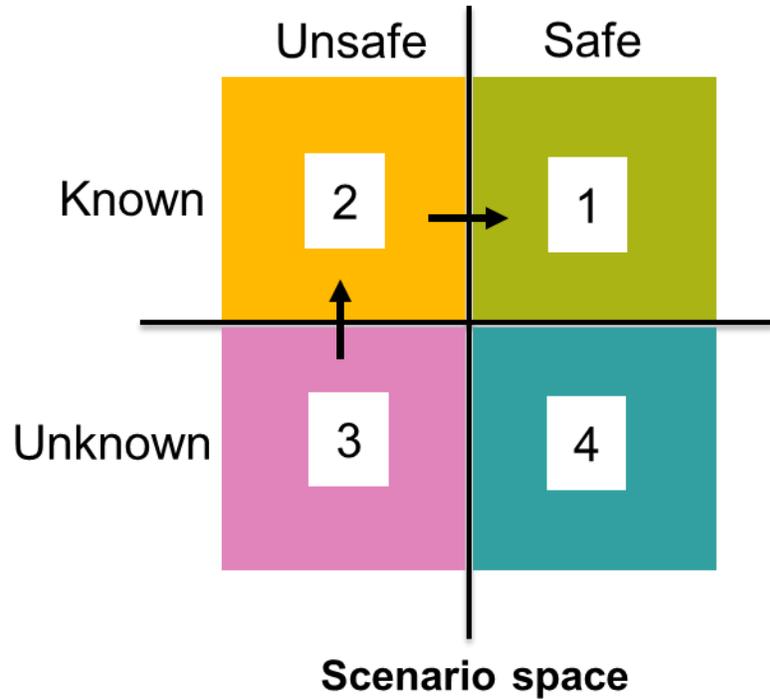


SOTIF is complementing ISO26262



SOTIF: Scenario Space and Scenario Categories

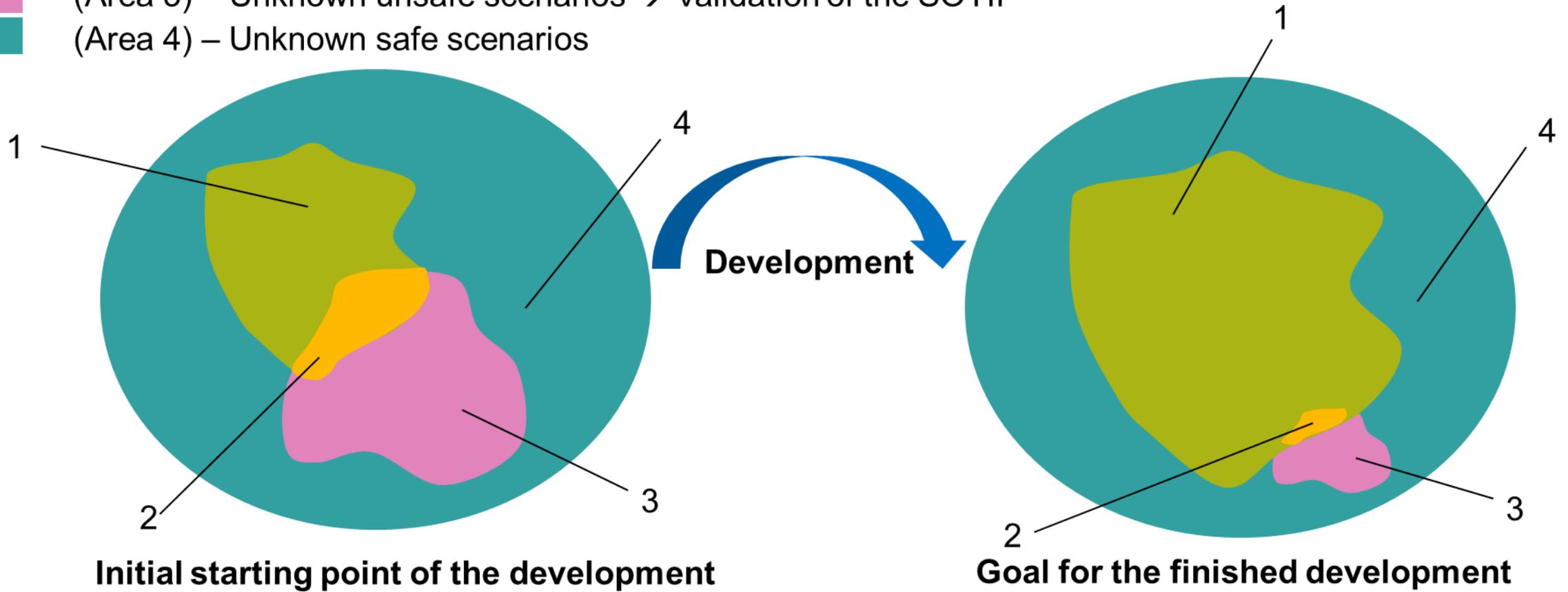
-  (Area 1) – Known safe scenarios
-  (Area 2) – Known unsafe scenarios
-  (Area 3) – Unknown unsafe scenarios
-  (Area 4) – Unknown safe scenarios



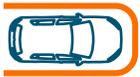
SOTIF - ISO PAS 21448

Evolution of Scenario Categories

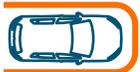
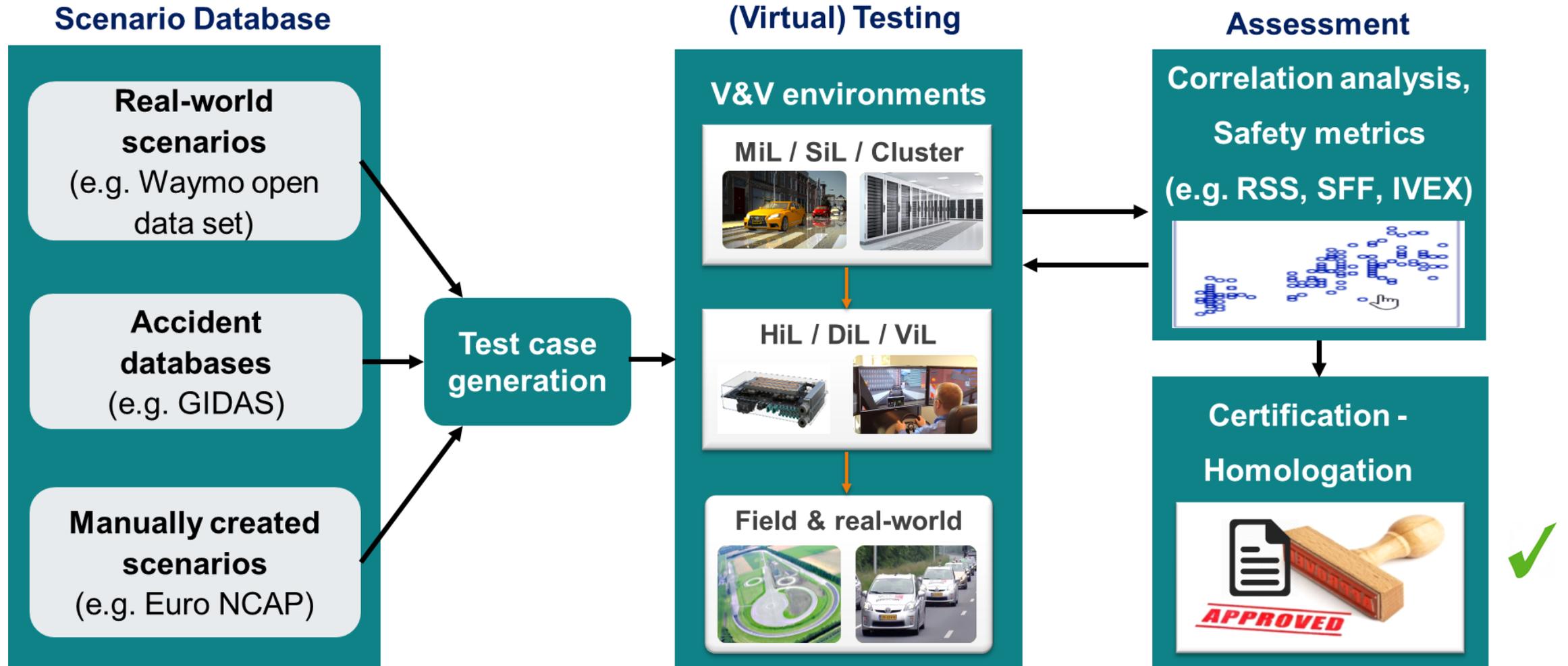
-  (Area 1) – Known safe scenarios
-  (Area 2) – Known unsafe scenarios → Verification of the SOTIF
-  (Area 3) – Unknown unsafe scenarios → Validation of the SOTIF
-  (Area 4) – Unknown safe scenarios



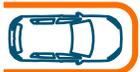
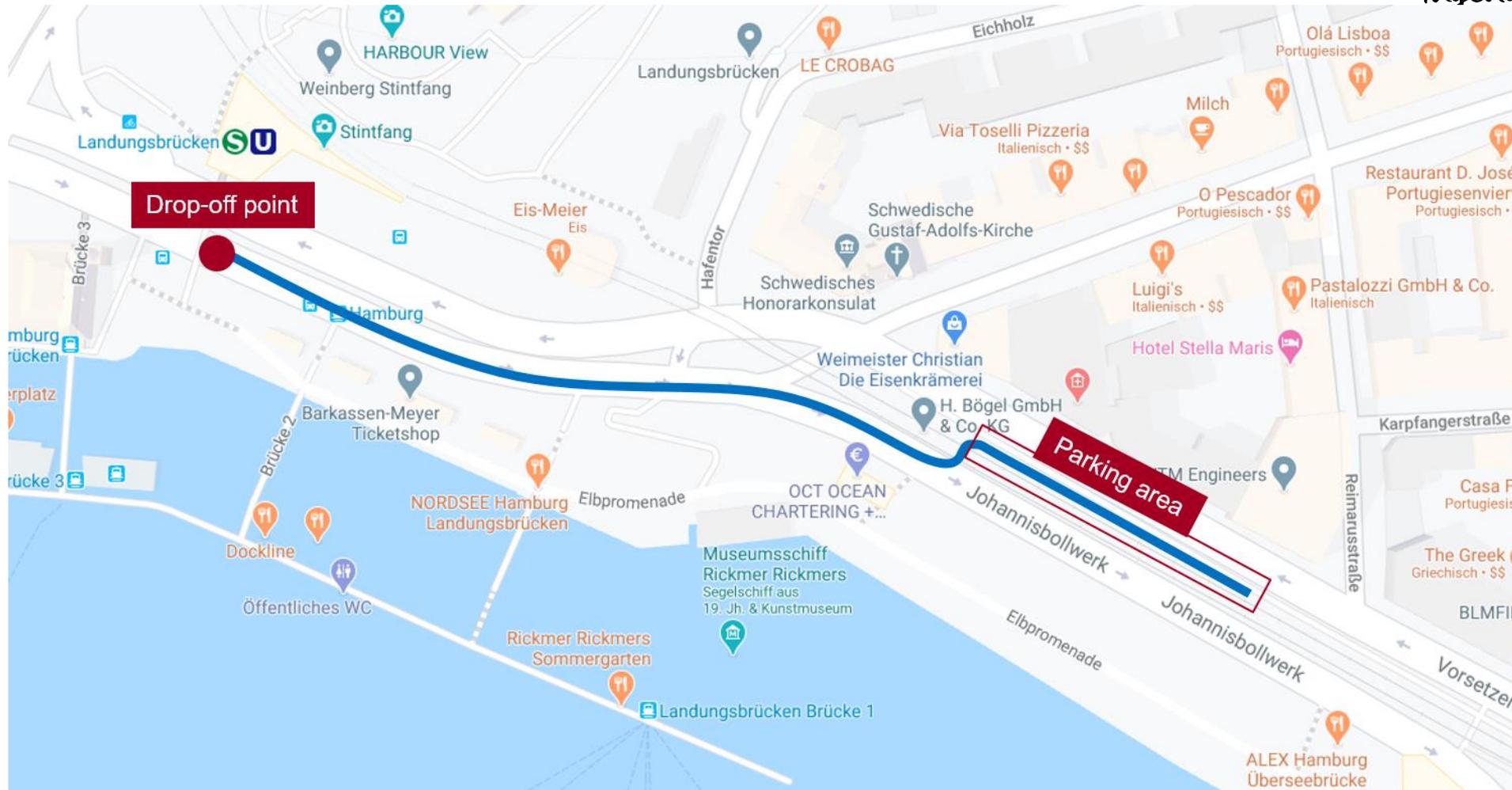
SOTIF - ISO PAS 21448



Verification and Valiation Framework

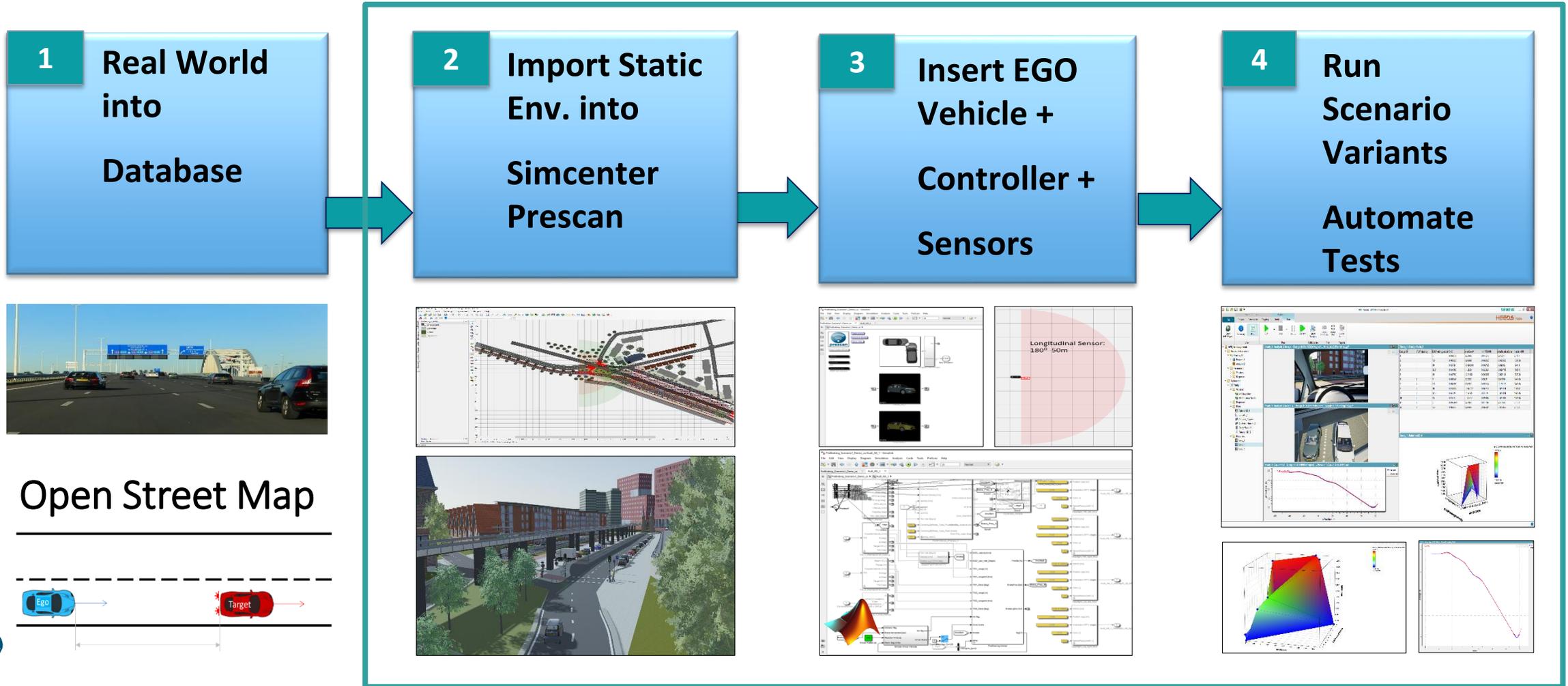


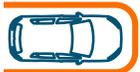
Real-World Parking Area in Hamburg



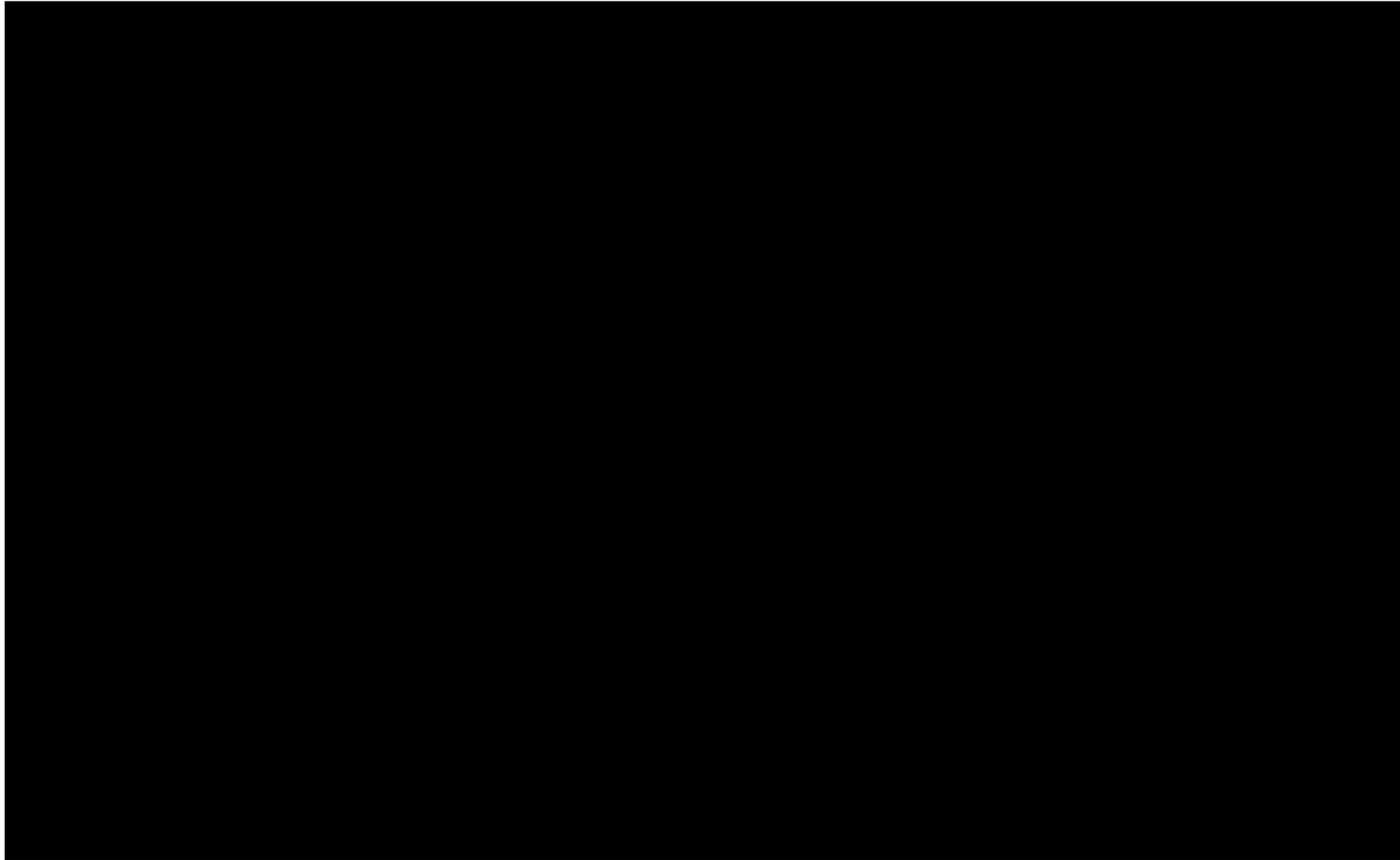
From Real-World to Virtual-World

Simcenter Prescan360





Physics-based Simulation Platform – Simcenter Prescan



UrbanSmartPark

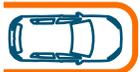
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AVP Field Tests – 2020, Helmond, The Netherlands





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Remarks, conclusions and discussions



Siemens project goals:

- develop a unified framework/methodology for verification and validation of automated driving systems
- follow and demonstrate the validity of the V&V framework in case of automated valet parking system

Safety assurance of complex systems:

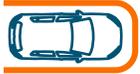
- if the operational environment is unknown operational safety is a very difficult (impossible) task
- verification and validation shall be performed at each level of the system
- there is no unified standard for certification of automated driving systems

Thank you for your attention!

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